

**PATENT**

Atty. Docket No. 35512-6

I hereby certify that on May 28, 2002, the date that I am signing this certificate, this correspondence and any attachments are being deposited with the United States Postal Service, First Class Mail, postage pre-paid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

*Jennifer D. Ahearn*  
Jennifer Ahearn



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

G. MICHAEL PHILLIPS, ET AL.

Serial No.: 09/392,106

Filed: September 8, 1999

For: FORECASTING USING INTERPOLATION  
MODELS

Group Art Unit: 2164

Examiner: A. Bashore

**RECEIVED**

JUN 24 2002

**GROUP 3600**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith is an amendment in the above-identified application and the following:

- Small-entity status is claimed for the above-referenced application.
- No additional claim fee is required.
- Additional fees are required as calculated and shown below:

|   | Claims Remaining After Amendment | Highest Number Previously Paid For | Number Extra | Small Entity Rate | Additional Fee | Standard Rate | Additional Fee |
|---|----------------------------------|------------------------------------|--------------|-------------------|----------------|---------------|----------------|
| <b>Total</b>  | 26 minus                         | 24                                 | 2            | x \$9.00          | \$18.00        | x \$18.00     |                |
| <b>Independent</b>                                  | 4 minus                          | 4                                  |              | x \$42.00         |                | x \$84.00     |                |
| <b>1st Presentation of Multiple Dependent Claim</b> |                                  |                                    |              | x \$140.00        |                | x \$280.00    |                |
|   |                                  |                                    |              | <b>TOTAL:</b>     | <b>\$18.00</b> | <b>TOTAL:</b> |                |

A Petition for [ ] One; [ ] Two; [ ] Three Month Extension of Time and the requisite fee is being filed concurrently herewith.

Other attachments: Request for Approval of Drawing Changes

The Commissioner is hereby authorized to charge additional claim fees in the amount of **\$18.00** to our Deposit Account No. 13-3735. In addition, the Commissioner is authorized to charge the payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-3735. A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 C.F.R. 1.16.

Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

**MITCHELL, SILBERBERG & KNUPP LLP**

Date: May 28, 2002

By:

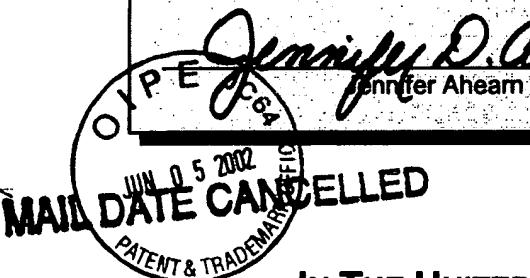
  
Joseph G. Swan  
Registration No. 41,338

MITCHELL, SILBERBERG & KNUPP LLP  
11377 West Olympic Boulevard  
Los Angeles, CA 90064  
Ph. (310) 312-2000  
Fax (310) 312-3100

*6/25/02*  
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**REQUEST FOR APPROVAL OF DRAWING CHANGES**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

With the Examiner's approval, and at such time as this application is otherwise in condition for allowance, Applicant proposes to effect the following drawing changes.

Substitute the attached Figures 1 to 3 for the Figures 1 to 3 currently in the application. The only change to Figures 1 to 3 is the replacement of the text circled in red.

*Received AB 9-4-02*

Serial No.: 09/392,106

If there are any fees due in connection with the filing of this paper that have not been accounted for in this paper or the accompanying papers, please charge the fees to Deposit Account No. 13-3735. If an extension of time under 37 C.F.R. 1.136 is required for the filing of this paper and is not accounted for in this paper or the accompanying papers, such an extension is requested and the fee (or any underpayment thereof) should also be charged to the Deposit Account. A duplicate copy of this page is enclosed for that purpose.

Respectfully submitted,

**MITCHELL, SILBERBERG & KNUPP LLP**

Dated: May 28, 2002

By

  
Joseph G. Swan  
Registration No. 41,338

**MITCHELL, SILBERBERG & KNUPP LLP**  
11377 West Olympic Boulevard  
Los Angeles, California 90064  
Telephone: (310) 312-2000  
Facsimile: (310) 312-3100